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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		<b>Complete if Known</b>	
		Application Number	10/806,647
		Filing Date	March 22, 2004
		First Named Inventor	Clark R. Baker, Jr. et al.
		Art Unit	3768
Examiner Name	Berhanu, Etsub D.		
Sheet 1 of 1	Attorney Docket Number	TYHC:0110-5/FLE (009103-009632US)	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
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Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
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	B6					

Examiner Signature	<i>Etsub D. Berhanu</i>	Date Considered	07/23/2007
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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Page 4 of 5**Complete If Known**

Application Number	<u>10806647</u>
Filing Date	HEREWITH
First Named Inventor	Baker, Jr., Clark R., et. al.
Art Unit	
Examiner Name	
Attorney Docket Number	09103-009632US

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
<u>EB</u>	74	BROWN, "Evaluation of Pulse Oximeters using Theoretical Models and Experimental Studies," Cover page-p.2 (1987)	
	75	BROWN, Robert Grover and HWANG, Patrick Y.C., <i>Introduction to Random Signals and Applied Kalman Filtering</i> , (2nd Ed.), (1992), pp. 231-232, 261, John Wiley & Sons, Inc., New York, NY	
	76	CHEN et al., "Adaptive System for Processing of Electrogastic Signals," <i>IEEE Engineering in Medicine &amp; Biology Society, 11th Annual International Conference</i> , (1989), pp. 698-699	
	77	COHEN, A., <i>Biomedical Signal Processing, Volume 1, Time and Frequency Domains Analysis</i> , pp. 152-159, CRC Press, Inc., Boca Raton, FL <u>(Undated)</u>	
	78	HARRIS et al., "Digital Signal Processing with Efficient Polyphase Recursive All-Pass Filters," <i>International Conf. on Signal Processing</i> , (1991), Florence, Italy	
	79	HENDRY, S.D., "Computation of Harmonic Comb Filter Weights," <i>IEEE Transactions on Signal Processing</i> , (1993), pp. 1677-1680, Vol. 41, No. 4	
	80	JINZHENG et al., "Digital Processing of High-Resolution Electrocardiograms-Detection of His-Purkinje Activity from the Body Surface," <i>Biomed. Technik</i> , 33(10):224-230 (1988)	
	81	KLIMASAUSKAS, "Neural Nets and Noise Filtering," <i>Dr. Dobbs' Journal</i> , 14(1):32-46 (1989)	
	82	MOOK et al., "Wavelength dependency of the spectrophotometric determination of blood oxygen saturation," <i>Clinical Chemistry Acta</i> , 26:170-173 (1989)	
	83	MOOK et al., "Spectrophotometric determination of oxygen saturation of blood independent of the presence of Indocyanine green," <i>Cardiovascular Research</i> , (1979) 13:233-237	
	84	NEHORAI, A., "A Minimal Parameter Adaptive Notch Filter with Constrained Poles and Zeros," <i>IEEE on Acoustics, Speech, and Signal Processing</i> , (1985), pp. 983-998, Vol. 33, No. 4	
	85	NEHORAI, A. and PORAT, B., "Adaptive Comb Filtering for Harmonic Signal Enhancement," <i>IEEE on Acoustics, Speech, and Signal Processing</i> , (1988), pp. 1124-1138, Vol. 14, No. 5	
	86	NEUMAN, "Pulse Oximetry: Physical Principles, Technical Realization and Present Limitations," <i>CONTINUOUS TRANSCUTANEOUS MONITORING</i> , (1987), pp. 135-144	
	87	RABINER et al., "Theory and Application of Digital Signal Processing," (1975), p. 260, Prentice Hall, Inc., Englewood Cliffs, NJ	
<u>EB</u>	88	SEVERINGHAUS, "Pulse Oximetry Uses and Limitations," <i>ASA Convention in New Orleans, LA</i> (1989), pp. 1-3	

Examiner Signature	<u>[Signature]</u>	Date Considered	<u>08/07/06</u>
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Sheet	6	of	6	Attorney Docket Number	TYHC:0110-5 / 009103-009632

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
CB	C18	Testimony of Jack Goldberg, Reporter's Transcript of Proceedings, Afternoon session, Feb. 24, 2004, pp. 711-806, US District Court, Central District of California, No. CV 00 6506-MRP, Los Angeles, California	
	C19	Testimony of Jack Goldberg, Reporter's Transcript of Proceedings, Morning session, Feb. 25, 2004, pp. 807-826, US District Court, Central District of California, No. CV 00 6506-MRP, Los Angeles, California	
	C20	Testimony of James Edward, Corenman, Testimony of Clark Raymond Baker, Jr., Testimony of Robert Thomas Stone, Reporter's Transcript of Proceedings, Afternoon session, March 3, 2004, pp. 1696-1795, US District Court, Central District of California, No. CV 00 6506-MRP, Los Angeles, California	
	C21	Testimony of Robert Thomas Stone, Reporter's Transcript of Proceedings, Morning session, March 4, 2004, pp. 1796-1884, US District Court, Central District of California, No. CV 00 6506-MRP, Los Angeles, California	
	C22	<i>Mallinckrodt Inc. and Nellcor Puritan Bennett, Inc. v. Masimo Corp. and Ivy Biomedical Systems, Inc.</i> , Memorandum of Decision and order Re Power-Trial Motions entered July 14, 2004.	
	C23	<i>Mallinckrodt Inc. and Nellcor Puritan Bennett, Inc. v. Masimo Corp. and Ivy Biomedical Systems, Inc.</i> , Final Judgment entered August 6, 2004.	
	C24	FastSat™ Whitepaper by Masimo Corporation (2 pages) - undated	
	C25	Cohen, <i>Biomedical Signal Processing</i> , Vol. 1, pp. 152-158. (undated)	
✓	C26	Joint Exhibits: JTX-5009, JTX-5010, JTX-5013, JTX-5014, JTX-5015, JTX-5017, JTX-5021, US District Court, Central District of California, No. CV 00 6506-MRP, Los Angeles, California (undated)	
CB	C27	Wahr, Joyce A., et al., "Pulse Oximetry," <i>Respiratory Care Clinics of North America, Blood Gas Measurements</i> , 1:1 (September 1995), pp. 77-105.	
	C28		
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